

Nanoscale Heat Radiation III

Boltzmann Equation, Topology, Non-Reciprocity

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Theorie der kondensierten Materie
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Heat transfer in many-body systems

Theoretical framework

Heat transfer in a chain of nanoparticles

Boltzmann equation approach

Boltzmann vs Landauer

Topological many-body systems

Su-Schrieffer-Heeger model

Honeycomb lattice

Non-reciprocal many-body systems

Hall effect for thermal radiation

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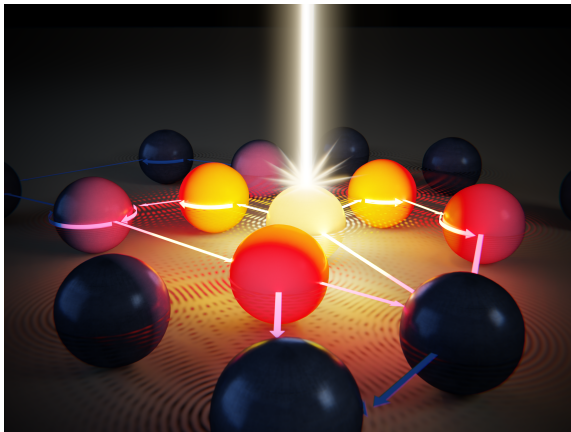
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Thermal near-field radiation in many-body systems



Ben-Abdallah, SAB, Joulain, PRL **107**, 107, 114301 (2011)

...

SAB, Messina, Venkataram, Rodriguez, Cuevas, Ben-Abdallah, Rev. Mod. Phys. **93**, 025009 (2021)

Thermal near-field radiation in many-body systems

- Power exchanged between particles with polarizability $\underline{\underline{\alpha}}$

$$\langle P_i \rangle = \sum_{j \neq i}^N 3 \int_0^\infty \frac{d\omega}{2\pi} \left([\Theta(\omega, T_j) - \Theta(\omega, T_i)] \mathcal{T}_{ji}(\omega) \right)$$

- Mean energy of harmonic oscillator

$$\Theta(\omega, T) = \frac{\hbar\omega}{e^{\hbar\omega/k_B T} - 1} \quad \langle P_1 \rangle = 3 \left(\frac{\pi^2 k_B^2 T}{3h} \right) \overline{\mathcal{T}}_{12} \Delta T_{12}$$

- Transmission coefficient (proportional to absorptivity $\frac{\underline{\underline{\alpha}} - \underline{\underline{\alpha}}^\dagger}{2i}$)

$$\mathcal{T}_{ij}(\omega) = \frac{4}{3} \text{ImTr} \left[\mathbf{T}_{ij}^{-1} \frac{\underline{\underline{\alpha}} - \underline{\underline{\alpha}}^\dagger}{2i} (\mathbf{T}_{ij}^{-1})^\dagger \underline{\underline{\alpha}}^{-1\dagger} \right]$$

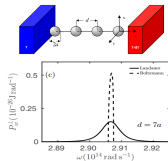
- 'Transfer matrix'

$$\mathbf{T}_{ij} = \delta_{ij} \mathbb{1} - (1 - \delta_{ij}) k_0^2 \underline{\underline{\alpha}} \mathbf{G}_{ij}^E$$

- Access to all observables: mean Poynting vector, energy density, etc.

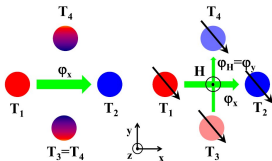
Application of many-body theory

Boltzmann vs. Landauer



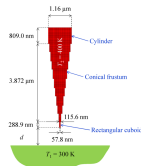
Ben-Abdallah, et al., PRL **111**, 174301 (2013)
Kathmann et al., PRB **98**, 115434 (2018)

non-reciprocal materials



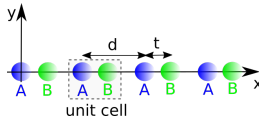
Ben-Abdallah, PRL **116**, 084301 (2016)

Discrete Dipole Approximation



Edalatpour et al., JQSRT **133**, 364 (2014)
Edalatpour, Francoeur, PRB **94**, 045406 (2016)

topological systems



Ott, SAB, PRB **102**, 115417 (2020)

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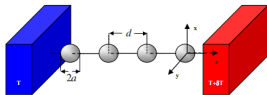
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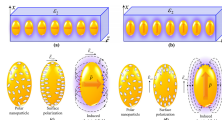
Boltzmann equation approach for plasmonics

chain of nanoparticles



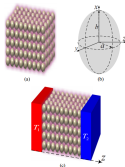
Ben-Abdallah, PRB **77**, 075417 (2008)

spheroidal nanoparticles



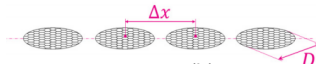
Ordóñez-Miranda et al., PRB **92**, 115409 (2015)

plasmonic crystal



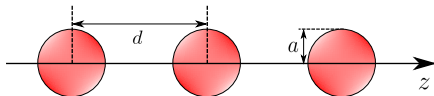
Ordóñez-Miranda et al., PRB **93**, 035428 (2016)

graphene disks



Ramírez et al., PRB **96**, 165428 (2017)

hBN nanoparticle chain ($a = 25\text{nm}$, $d = 100\text{nm}$)

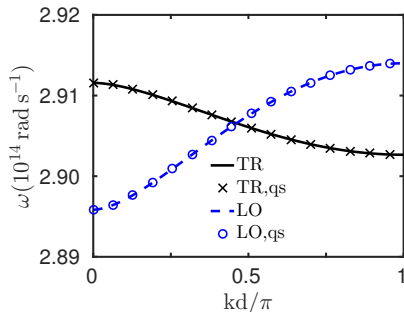


- Induced dipole moment

$$\mathbf{p}_i = \epsilon_0 \alpha \mathbf{E}(\mathbf{r}_i)$$

- Field of other dipoles

$$\mathbf{E}(\mathbf{r}_i) = \mu_0 \omega^2 \sum_{j \neq i} \mathbb{G}(\mathbf{r}_i, \mathbf{r}_j) \mathbf{p}_j$$



Kathmann, Messina, Ben-Abdallah, SAB, PRB **98**, 115434 (2018)

- Closed equation $\mu_0 \epsilon_0 = 1/c^2$

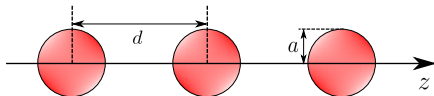
$$\frac{\omega^2}{c^2} \sum_{j \neq i} \mathbb{G}(\mathbf{r}_i, \mathbf{r}_j) \mathbf{p}_j = \frac{1}{\alpha} \mathbf{p}_i$$

- Bloch ansatz $\mathbf{p}_j = \mathbf{p} e^{jk d}$

- Eigenvalue equation

$$\frac{\omega^2}{c^2} \sum_{j \neq i} e^{(j-i)kd} \mathbb{G}(\mathbf{r}_i, \mathbf{r}_j) \mathbf{p} = \frac{1}{\alpha} \mathbf{p}$$

Boltzmann equation approach



- Heat current density

$$j = \frac{1}{LS} \sum_k (f_k - f_k^0) v_{g,k} \hbar \omega_k$$

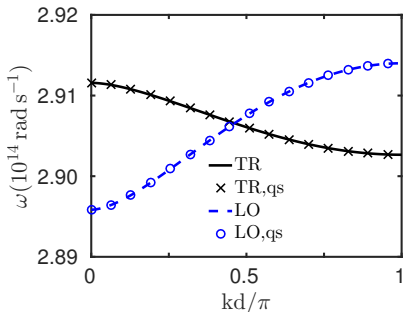
- Boltzmann equation

$$\frac{\partial f_k}{\partial t} + v_{g,k} \frac{\partial f_k}{\partial z} = - \frac{f_k - f_k^0}{\tau_k}$$

- Steady state

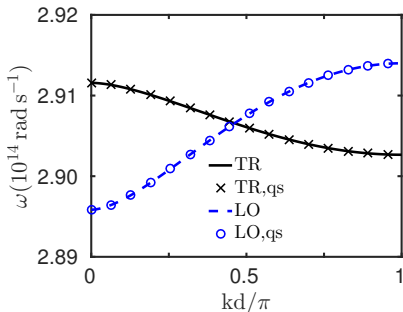
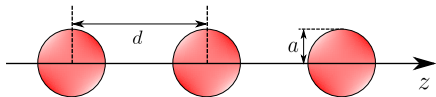
$$j \approx - \frac{1}{LS} \sum_k v_{g,k}^2 \tau_k \hbar \omega_k \frac{\partial f_k^0}{\partial T} \frac{dT}{dz}$$

- Fourier's law $j = -\kappa \frac{dT}{dz}$



Kathmann, Messina, Ben-Abdallah, SAB, PRB **98**, 115434 (2018)

Boltzmann equation approach



Kathmann, Messina, Ben-Abdallah, SAB, PRB **98**, 115434 (2018)

- Replacing sum by integral ($\Lambda = v_g \tau$)

$$\sum_k v_k = \frac{L}{2\pi} \int dk \frac{d\omega_k}{dk} = L \int \frac{d\omega}{2\pi}$$

$$j \approx -\frac{1}{LS} \sum_k v_k^2 \tau_k \hbar \omega_k \frac{\partial f_k^0}{\partial T} \frac{dT}{dz}$$

$$= -\frac{2}{S} \int_0^\infty \frac{d\omega}{2\pi} \Lambda_\omega \frac{\partial \Theta(\omega)}{\partial T} \frac{dT}{dz}$$

- Transferred power ($\frac{dT}{dz} \approx \frac{\Delta T}{L}$)

$$P_d = S|j| = \int \frac{d\omega}{2\pi} \Theta_{1N} \frac{2}{L} (2\Lambda_\omega^\perp + \Lambda_\omega^\parallel)$$

- Propagation length [$\exp(-i\omega t)$]

$$\Lambda_\omega = \left| \frac{d\omega'}{dk} \frac{1}{2\omega''} \right|$$

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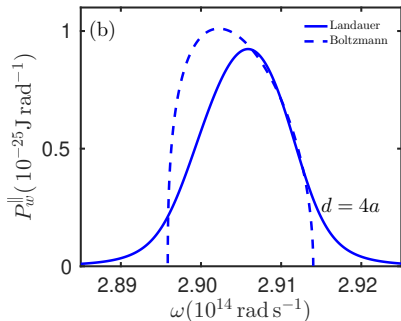
Non-reciprocal many-body systems

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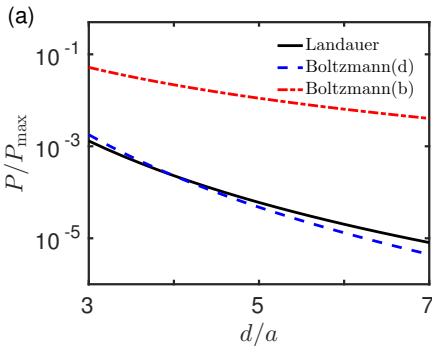
Summary

Boltzmann vs. Landauer (hBN, $a = 25\text{nm}$)

- Spectral heat flux



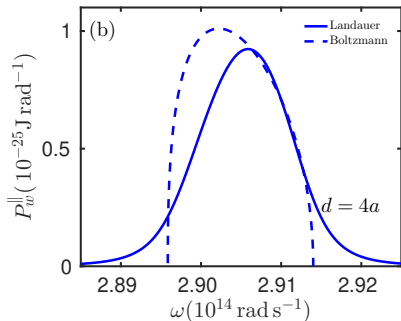
- Full heat flux



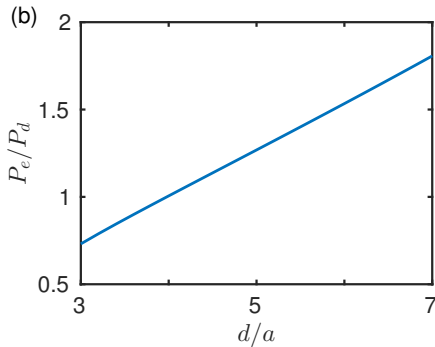
Kathmann, Messina, Ben-Abdallah, SAB, PRB **98**, 115434 (2018)

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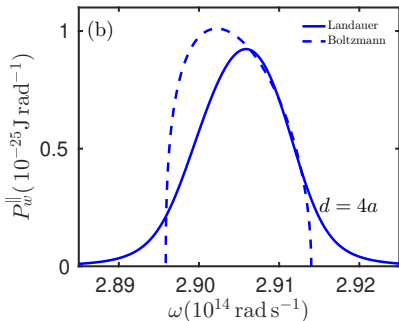
- Full heat flux



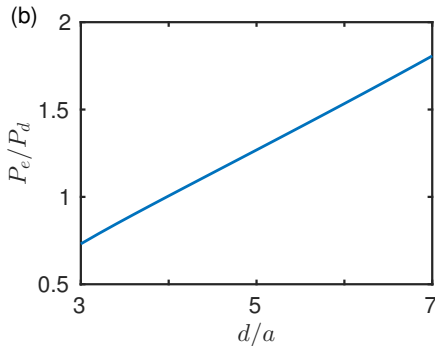
Kathmann, Messina, Ben-Abdallah, SAB, PRB **98**, 115434 (2018)

Boltzmann vs. Landauer (hBN, $a = 25\text{nm}$)

- Spectral heat flux



- Full heat flux



Boltzmann approach is in general not applicable!

see also Tervo et al, JQSRT 246, 106947 (2020)

Kathmann, Messina, Ben-Abdallah, SAB, PRB **98**, 115434 (2018)

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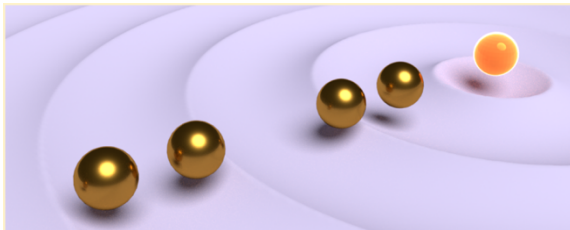
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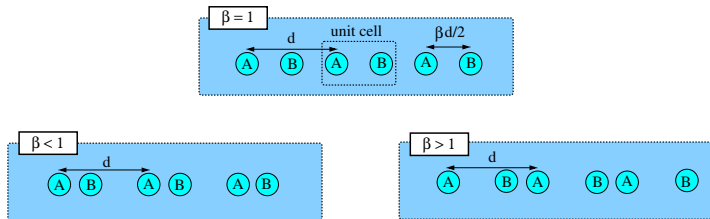
Summary

Su-Schrieffer-Heeger model in plasmonics



Pocock et al., ACS Photonics **5**, 2271 (2018)

Su-Schrieffer-Heeger model



- Bloch ansatz

$$\mathbf{M} \begin{pmatrix} \mathbf{p}_A \\ \mathbf{p}_B \end{pmatrix} = \frac{1}{\alpha} \begin{pmatrix} \mathbf{p}_A \\ \mathbf{p}_B \end{pmatrix}$$

- Form of the matrix $\mathbf{M}$

$$\mathbf{M} = \begin{pmatrix} 0 & \mathbb{M}_{AB} \\ \mathbb{M}_{BA} & 0 \end{pmatrix} + \begin{pmatrix} \mathbb{M}_{AA} & 0 \\ 0 & \mathbb{M}_{AA} \end{pmatrix}$$

- Define “Hamiltonian” $\rightarrow$ SSH model

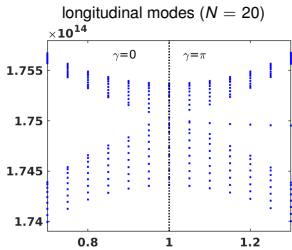
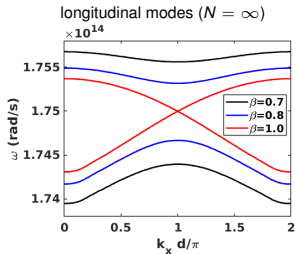
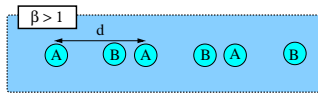
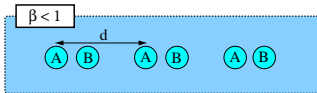
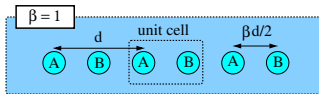
$$\mathbf{H} \begin{pmatrix} \mathbf{p}_A \\ \mathbf{p}_B \end{pmatrix} = \left(\frac{1}{\alpha} - M_{AA} \right) \begin{pmatrix} \mathbf{p}_A \\ \mathbf{p}_B \end{pmatrix}$$

- Sublattice/Chiral symmetry

$$\sigma_z \mathbf{H} \sigma_z = -\mathbf{H}$$

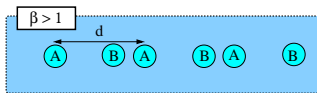
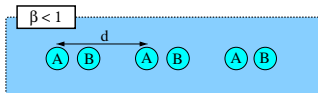
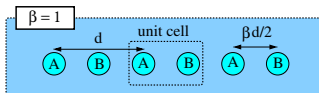
Pocock et al., ACS Photonics 5, 2271 (2018)

Nanoparticle chains (InSb, $R = 100\text{nm}$, $d = 1\mu\text{m}$)



Ott, SAB, PRB **102**, 115417 (2020)

Winding number



- “Hamiltonian”

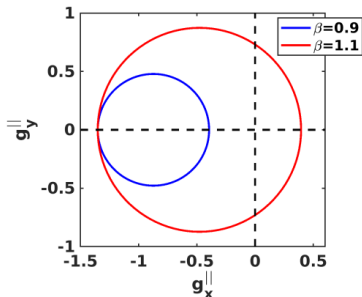
$$\mathbf{H} = \begin{pmatrix} 0 & M_{AB} \\ M_{BA} & 0 \end{pmatrix}$$

- Sublattice symmetry $\sigma_z \mathbf{H} \sigma_z = -\mathbf{H}$

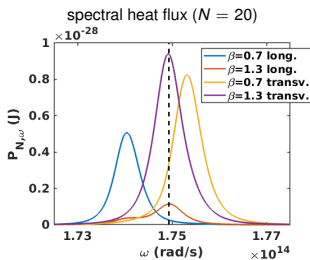
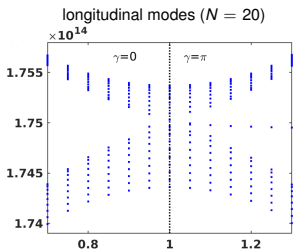
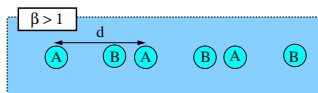
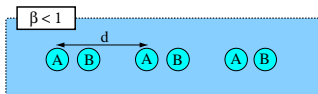
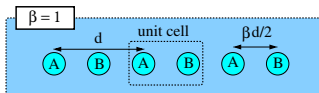
$$\mathbf{H} = \vec{\sigma} \cdot \vec{g}, \quad \vec{\sigma} = \begin{pmatrix} \sigma_x \\ \sigma_y \\ \sigma_z \end{pmatrix}; \quad \vec{g} = \begin{pmatrix} g_x \\ g_y \\ 0 \end{pmatrix}$$

Ott, SAB, PRB **102**, 115417 (2020)

longitudinal modes ($N = \infty$)



Heat flux ($R = 100\text{nm}$, $d = 1\mu\text{m}$)



Ott, SAB, PRB **102**, 115417 (2020)

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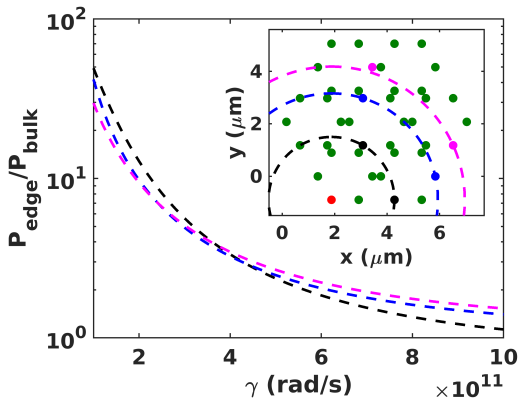
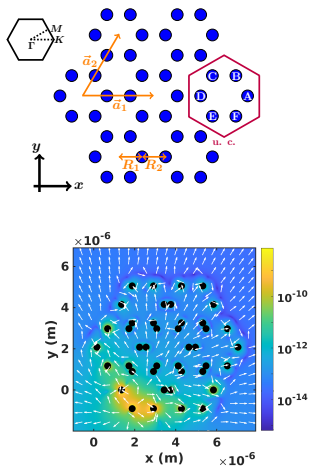
Honeycomb lattice

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Hall effect for thermal radiation

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Edge mode heat transfer ($R = 100\text{nm}$, $d = 1\mu\text{m}$)



Ott, SAB, IJHMT **190**, 122796 (2022)

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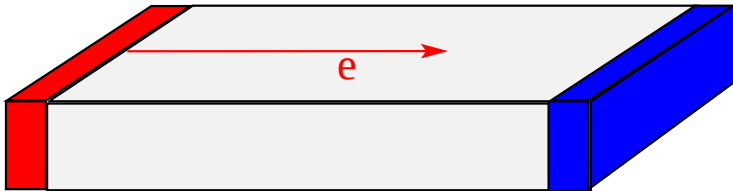
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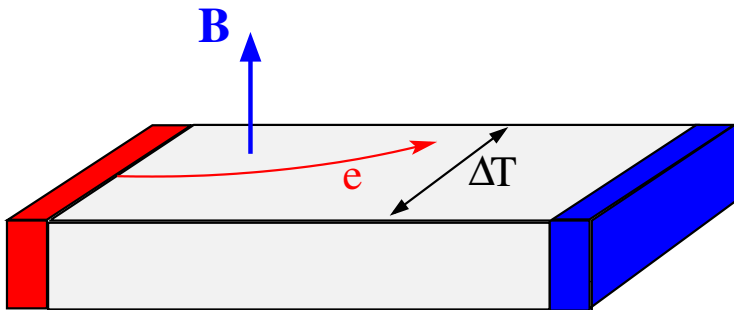
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Righi-Leduc or thermal Hall effect



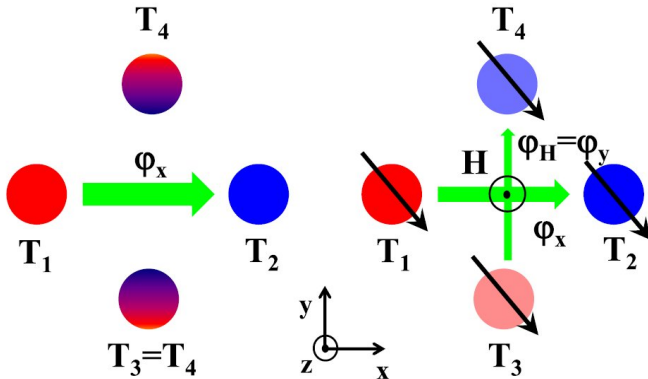
A. Righi, Mem. Acc. Lincei 4, 433 (1887); M. A. Leduc, J. Phys. 2e série 6, 378 (1887)

Righi-Leduc or thermal Hall effect



A. Righi, Mem. Acc. Lincei 4, 433 (1887); M. A. Leduc, J. Phys. 2e série 6, 378 (1887)

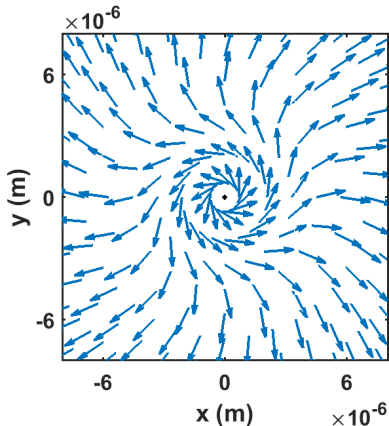
Hall effect for thermal radiation



Due to rotation of the optical axes?

Ben-Abdallah, PRL **116**, 084301 (2016)

Poynting vector around one InSb nanoparticle



- Polarizability

$$\underline{\underline{\alpha}} = 4\pi R^3 (\underline{\underline{\epsilon}} - 1)(\underline{\underline{\epsilon}} + 21)^{-1}$$

- Permittivity

$$\underline{\underline{\epsilon}} = \begin{pmatrix} \epsilon_1 & -i\epsilon_2 & 0 \\ i\epsilon_2 & \epsilon_1 & 0 \\ 0 & 0 & \epsilon_3 \end{pmatrix}$$

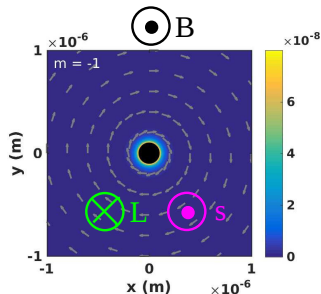
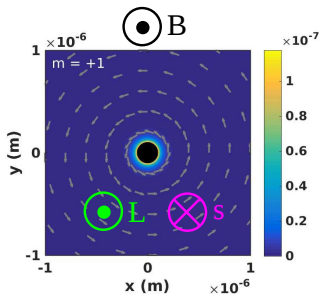
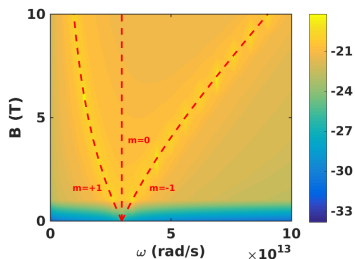
- Non-reciprocal

$$\underline{\underline{\alpha}}^t \neq \underline{\underline{\alpha}}, \quad \underline{\underline{\epsilon}}^t \neq \underline{\underline{\epsilon}}$$

$$S_\varphi(\omega) = \frac{\Theta(\omega, T_p) k_0^3}{2\pi^2 r^2} \text{Re}(\alpha_{12}) \left(\frac{1}{k_0 r} + \frac{1}{k_0^3 r^3} \right)$$

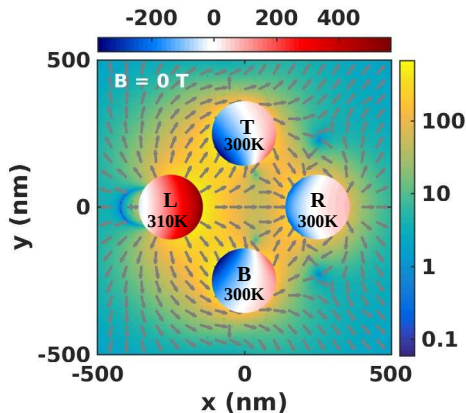
Ott, Ben-Abdallah, SAB, PRB **97**, 205414 (2018)

Dipolar resonances, ($l = 1, m = -1, 0, +1$)



Ott, Ben-Abdallah, SAB, PRB **97**, 205414 (2018)

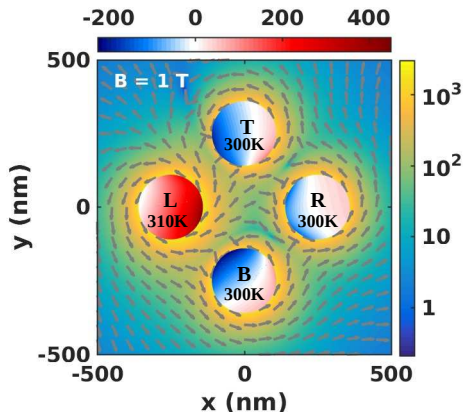
Heat flux and emitted/received power ($R = 100$ nm, $d = 500$ nm)



Ott, Ben-Abdallah, SAB, PRB **97**, 205414 (2018)

Ott, Messina, Ben-Abdallah, SAB, J. Photon. Energy **9**, 032711 (2019)

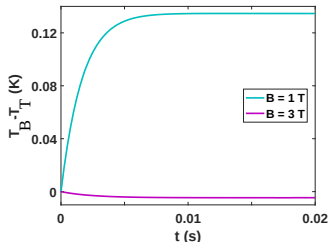
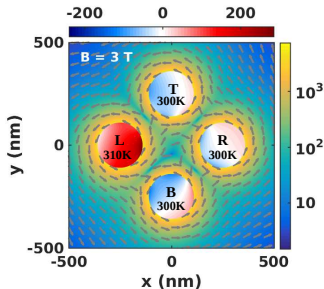
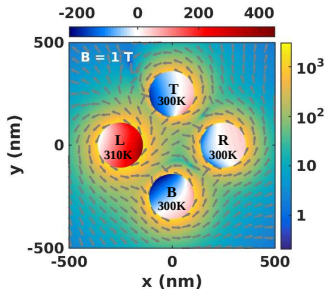
Heat flux and emitted/received power ($R = 100$ nm, $d = 500$ nm)



Ott, Ben-Abdallah, SAB, PRB **97**, 205414 (2018)

Ott, Messina, Ben-Abdallah, SAB, J. Photon. Energy **9**, 032711 (2019)

Photonic thermal Hall effect



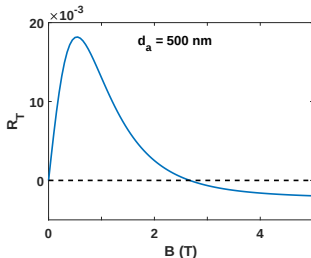
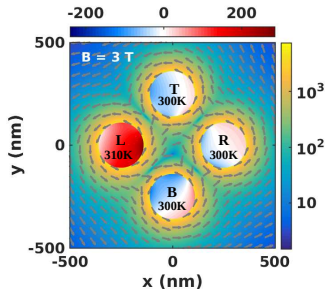
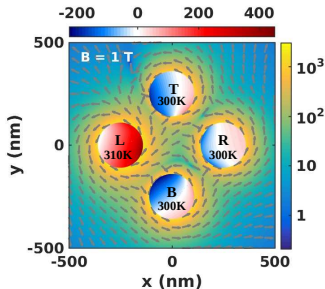
“Righi-Leduc coefficient”

$$R_T = \frac{T_B^{(st)} - T_T^{(st)}}{T_L - T_R}$$

Ott, Messina, Ben-Abdallah, SAB, J. Photon. Energy **9**, 032711 (2019)

Anomalous Hall effect for Weyl semi metals: Ott, SAB, Ben-Abdallah, PRB **101**, 241411(R) (2020)

Photonic thermal Hall effect



“Righi-Leduc coefficient”

$$R_T = \frac{T_B^{(st)} - T_T^{(st)}}{T_L - T_R}$$

Ott, Messina, Ben-Abdallah, SAB, J. Photon. Energy **9**, 032711 (2019)

Anomalous Hall effect for Weyl semi metals: Ott, SAB, Ben-Abdallah, PRB **101**, 241411(R) (2020)

Heat transfer in many-body systems

Theoretical framework

Heat transfer in a chain of nanoparticles

Boltzmann equation approach

Boltzmann vs Landauer

Topological many-body systems

Su-Schrieffer-Heeger model

Honeycomb lattice

Non-reciprocal many-body systems

Hall effect for thermal radiation

Summary

Summary

- Near-field heat radiation in many-body systems
 - Chains of nanoparticles
 - Boltzmann vs. Landauer
 - Boltzmann can fail for dielectrics
 - Boltzmann certainly fails for metals
 - Topological systems
 - Su-Schrieffer-Heeger (SSH) chain
 - Honeycomb lattice
 - Edge mode dominated heat transfer
- Nonreciprocal systems
 - Circular heat flux, angular momentum, spin
 - Hall effect for thermal radiation

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